

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Lianquan GU, Jun WANG and Guiwu XIAO

Serial No. : 10/525,352

For : O-PYRIDINEQUINONE DERIVATIVES, THE COMPOSITION  
CONTAINING THE DERIVATIVES, THE PROCESS FOR  
PREPARATION OF THE DERIVATIVES AND THE USE OF  
THE DERIVATIVES

Filed : Not Yet Assigned

Int'l Appln. No. : PCT/CN2003/000711

Int'l Filing Date : 22 August 2003 (22.08.2003)

Priority Date : 23 August 2002 (23.08.2002)

Examiner : Not Yet Assigned

Art Unit : Not Yet Assigned

745 Fifth Avenue  
New York, NY 10151

**EXPRESS MAIL**

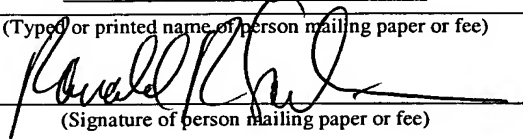
Mailing Label Number: EV723364878US

Date of Deposit: September 2, 2005

I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" Service under 37 CFR 1.10 on the date indicated above  
and is addressed to: **Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.**

Ronald R. Santucci, Reg. No. 28,988

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

09/13/2005 MKAYPAGH 00000149 10525352

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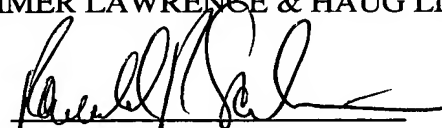
In response to the Notification of Missing Requirements mailed July 11, 2005 for the above referenced patent application, Applicants enclose the following:

- a) a copy of the Notification of Missing Requirements;
- b) a fully executed declaration for this application;
- c) an Information Disclosure Statement for this application along with Form 1449 and a copy of the cited reference
- d) an English translation of the International Search Report issued by the Chinese Patent Office for International Application PCT/CN03/00711;
- e) an English translation of International Preliminary Examination Report issued by the Chinese Patent Office for International Application PCT/CN03/00711; and
- f) a check in the amount of \$65 which includes the surcharge for late filing of the declaration by a small entity (\$65).

The Commissioner is authorized to charge any additional fees that may be required to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:



Ronald R. Santucci  
Reg. No. 28,988  
(212) 588-0800



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,352	Lianquan Gu	930103-2001

INTERNATIONAL APPLICATION NO.	
PCT/CN03/00711	
IA. FILING DATE	PRIORITY DATE
08/22/2003	08/23/2002

Frommer Lawrence & Haug  
 745 Fifth Avenue  
 New York, NY 10151

CONFIRMATION NO. 3909

371 FORMALITIES LETTER



\*OC0G0000016490210\*

DOCKETED

Date Mailed: 07/11/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/23/2005
- English Translation of the IA filed on 02/23/2005
- Copy of the International Search Report filed on 02/23/2005
- Copy of IPE Report filed on 02/23/2005
- Oath or Declaration filed on 02/23/2005
- Request for Immediate Examination filed on 02/23/2005
- U.S. Basic National Fees filed on 02/23/2005
- Priority Documents filed on 02/23/2005

2005 JUL 13 A 10:11  
 FROMMER, LAWRENCE  
 & HAUG, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

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- \$65 Late oath or declaration surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,352	PCT/CN03/00711	930103-2001